

FREDERICK P. DEWALD III, D.B.A.

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Education

Doctor of Business Administration August 2021
Concentration in Finance
University of Wisconsin – Whitewater, Whitewater, WI

Master of Business Administration August 2007
Concentration in Finance
DePaul University, Chicago, IL

Bachelor of Science, Business Administration December 2005
Lewis University, Romeoville, IL

Professional Experience

Visiting Assistant Professor, Finance
University of Memphis 2021 - Present

Assistant Professor, Finance
Lewis University 2017 - 2021

Adjunct Instructor, Finance
Lewis University 2010 - 2017

President
First Light Financial 2012 - Present

Portfolio Manager, Global Wealth Management
Morgan Stanley 2007 - 2012

Peer-Reviewed Scholarly Journal Articles

Dewald, F.P. & Fan, Z. (2022). How Different Are Minority Managers From White Managers in the Mutual Fund Industry? *Economics Letters*.
<https://doi.org/10.1016/j.econlet.2022.110910>

Dewald, F. P., & Vander Schee, B. A. (In Press). Financial services digital badging: Applying self-determination theory to student motivation. *Journal of Financial Education*.

Vander Schee, B. A., & Dewald, F. P. (In Press). Becoming a Salesforce Adventurer in financial services: A digital badging application. In J. R. Blair & M. Robles (Eds.), *Effective methods for teaching business related topics during and post crisis*. National Business Education Association.

Papers Under Consideration

Dewald, F. P., Fan, Z., & Yu, L. The Price of Admission: A Study of Diversity in Mutual Fund Manager Hiring. *Management Science*.

Conference Peer-Reviewed Paper Presentations

Dewald, F. & Fan, Z. (2021) *Does Manager Race Impact Performance?*. Presented at the 2021 FMA Conference.

Vander Schee, B. A., Dewald, F. P. (2022). *Digital badging across disciplines: Utilizing services marketing in financial education*. Summary brief to be published in the proceedings at the SMA Conference.

Manuscripts in Progress

Dewald, F. P. & Fan, Z. The impact of fund management team diversity on U.S. mutual fund manager performance.

Yu, L., Fan, Z., & Dewald, F. P. Political impact on ETF volatility spillover.

Dewald, F. P. & Dewald, S. Does White Collar Crime Pay?

University Teaching Experience

Graduate Courses Taught:

Financing the Business Enterprise

Managerial Finance

Retirement & Estate Planning (face-to-face, hybrid & asynchronous modalities)

Undergraduate Courses Taught:

Retirement & Estate Planning (face-to-face, hybrid & asynchronous modalities)

Personal Financial Planning (face-to-face, hybrid, online synchronous & asynchronous modalities)

Personal Financial Management (face-to-face, hybrid, online synchronous & asynchronous modalities)

Financial Management (face-to-face, hybrid & asynchronous modalities)

Principles of Finance (face-to-face, hybrid, online synchronous & asynchronous modalities)

Corporate Finance (face-to-face, hybrid, online synchronous & asynchronous modalities)

Investment Analysis (face-to-face, hybrid, & online asynchronous modalities)

International Finance (face-to-face, hybrid, online synchronous & asynchronous modalities)

Topics & Cases in Finance

Professional Licenses

Registered Investment Advisor

Series 7, 31 & 66

Service to University

2021-Present Director of the Cook Analytics & Trading Lab, University of Memphis
2021-Present Faculty Advisor, University of Memphis Finance Club
2022 Faculty Advisor, University of Memphis Bloomberg Trading Challenge
2022 Faculty Advisor, University of Memphis CME Trading Challenge
2022 Faculty Advisor, University of Memphis TVA Portfolio Challenge Team
2021 Presentation to the University of Memphis Athletic Department: “Budget and Personal Finance” presentation for Tennessee state NIL requirement.
2021 Presentation to the Women’s Volleyball Team: “Understanding your Credit”
2021 Presentation to the Women’s Volleyball Team: “Understanding Investments”
2021 Presentation to the Women’s Volleyball Team: “How to Jump Start a Car”
2020-2021 Director of the MS in Finance Program, Lewis University
2017-2021 Faculty Advisor, Lewis University Economics & Finance Investment Club
2020-2021 Faculty Mentor, Lewis University Men’s Baseball Team
2015-2021 Faculty Representative student orientation
2019-2021 Student Advising for current students, incoming Freshman and transfer students
2017-2021 Faculty representative for the College of Business at student recruitment events
2017-2020 Faculty Interviewer, Lewis University LaSallian Scholarship
2014-2017 Faculty Advisor, Lewis University Rotoract Club

Professional Development

2019 Online Teaching Certificate, Lewis University

2009 Certified Financial Planner Curriculum, The American College

Professional Affiliations

2020-Present Member of the Financial Management Association

2018-Present Member of the CFA Society of Chicago

2018-Present Member of the Midwest Finance Association