



COLLEGE OF EDUCATION

Graduate Handbook Doctoral Degree Programs

Table of Contents

	Introduction	2
Part 1	From Admission to Graduation: A Practical Guide	3
Part 2	Academic Support Services	12
Part 3	Add/Drop/Withdrawal Process	13
Appendix	Forms to file with the College of Education	14
	Dissertation Committee Appointments	
	Evaluation of Transfer Credit	
	Doctoral Program of Studies	
	Application to Conduct Research with Human Subjects	
	Doctoral Residency Plan	
	Changes in Program of Studies	
	Comprehensive Examination Results	
	Doctoral Advisory Committee Appointments	
	Proposal Approval	
	Doctoral Degree Candidacy	
	Intent to Graduate Card	
	Dissertation Defense	

Introduction

This handbook provides important information to College of Education, doctoral students. **Part 1**, *From Admission to Graduation: A Practical Guide*, details the procedures mandated by the College of Education for Ed.D./Ph.D. candidates. **Part 2**, *Academic Support Services*, describes useful student resources, and **Part 3**, *Add / Drop / Withdrawal Process*, presents these policies. In addition, the Appendix furnishes a complete set of required forms.

Frequently updated information, along with links to the graduate catalog, applications, schedules, and forms may be found on the following web sites:

The University of Memphis home page:

<http://www.memphis.edu>

The University of Memphis College of Education home page:

<http://www.memphis.edu/coe/>

The College of Education Graduate Programs home page:

http://www.memphis.edu/coe/graduate_programs.php

The University of Memphis Graduate School home page:

<http://www.memphis.edu/gradschool/>

The University of Memphis Graduate Catalog:

<http://www.memphis.edu/gradcatalog/>

The University of Memphis Graduate School Calendar:

<http://www.memphis.edu/gradschool/calendar.php>

Part 1

From Admission to Graduation: A Practical Guide

Part 1 presents a step-by-step guide through the policies and paperwork essential to College of Education graduate students. It combines brief procedural explanations with a check list format to assist in accurate record keeping. **Part 1** has the following sequential sections:

1. *Admissions Procedures*
2. *Upon Notification of Acceptance*
3. *Program Advisory Committee*
4. *Program of Studies*
5. *Residency*
6. *Upon Completion of the Scholarly Product*
7. *Comprehensive Examinations*
8. *Dissertation Advisory Committee*
9. *Dissertation Proposal*
10. *Dissertation*
11. *Final Semester and Graduation*

1. Admissions Procedures

Admission to a doctoral program requires acceptance by both The University of Memphis Graduate School and acceptance by the department awarding your degree. Though the following explanation describes many of the application procedures, contact the department to which you are applying as soon as possible for specific information.

The University of Memphis Graduate School requirements as explained within the Admissions and Regulations section of the Graduate School Catalog include both new admission and readmission procedures.

For New Admission

- Complete and submit an online application form. See Office of Admission website: http://www.memphis.edu/graduateadmissions/future/apply_grad.php
- Have official transcripts for all previous graduate course work sent to the Graduate Admissions Office.
- Take the Graduate Record Examination (GRE). You may obtain information about test times and preparation from The University of Memphis Testing Center, 678-2428, and for information about taking an on-line computer version of this examination and receiving same day results..
- Obtain and submit a paper application for admission to the department within the College of Education from that department's office.
- Obtain the recommendations required by the department.

Prospective students should check with the department program coordinator of the program to which they are applying for specific deadlines. Applicants should allow a reasonable amount of time for necessary documents to be processed by the appropriate degree program and the Graduate School (approximately four to six weeks). International applicants should allow at least four months for the application process. Applicants are urged to apply early to ensure consideration. Contact the department about any requirements and deadlines. Departments may require an interview and a writing sample.

Some programs require that admission to the program be completed before any courses may be taken. Others permit a maximum of 12 semester hours of course work prior to admission and while in a non-degree status.

For Readmission

This procedure applies to all graduate students except those designated as Late Doctoral Students. If you have previously attended The University of Memphis Graduate School and have not enrolled for a semester, excluding summer, you must apply for readmission. Readmission may subject the student to all of the rules, prerequisites, and degree requirements listed in the current Graduate Catalog. Submission of an application for readmission does not ensure acceptance. Readmission may be denied or conditions may be imposed on the student.

- Contact the Graduate Office of Admissions and follow the specified procedures: 901-678-2911 or <http://www.memphis.edu/graduateadmissions/readmit/index.php>

2. Upon Notification of Acceptance

- Your letter of acceptance will include the name of your temporary advisor.
- Meet with your advisor to plan your course work for the first semester and to discuss the doctoral process.

3. Program Advisory Committee (form during the first semester)

A doctoral student's studies in the College of Education will be guided by the Program Advisory Committee. The Program Advisory Committee will assist the student in developing a program of studies (see section) and conduct the comprehensive examination (see section). A Dissertation Advisory Committee (see section) may or may not be the same as the student's Program Advisory Committee. The Dissertation Advisory Committee will direct the development of the student's dissertation proposal, dissertation, and final dissertation defense (see appropriate sections for more information).

- Meet with your advisor to identify a Program Advisory Committee of at least 3 members. The student may request a different advisor. The advisor serves as chair of this committee. You may choose to also add a fourth member required for the Dissertation Advisory Committee.

Committee Membership

1. Membership
 - a) The Program Advisory Committee must consist of at least three graduate faculty members.
 - b) The Dissertation Advisory Committee must consist of at least four graduate faculty members.
 2. The Chair (major professor) must be a full graduate faculty member from the student's area of concentration within his/her major.
 3. Only one adjunct or affiliate graduate faculty member may serve as a member of the committee.
 4. At least one other committee member must be a faculty member in the student's major.
 5. The remaining committee members may be within or outside the student's major, department and college.
- File a Doctoral Committee Appointment form (see the Appendix) with the COE Office of Graduate Studies, 215 Ball Hall..

Note: Most forms submitted by doctoral students require the signature of the doctoral advisor (major professor), the department chair (or designee), and then the COE Director of Graduate Programs. The Dean's Office staff will deliver forms which are to be forwarded to the Graduate School.

4. Program of Studies (determine during the first semester)

Each Program of Studies is individually designed by the student and the Program Advisory Committee to accomplish the student's educational goals and ensure mastery of the knowledge, skills, and disposition requisite of the discipline. The Program of Studies for the Doctoral degree must include a minimum of 54 semester hours to be earned within ten years of passing your comprehensive examination and within twelve years of the date of graduation. (Some programs have a shorter time limit for completion of requirements.) Courses taken at another university and included within the Program of Studies must be approved by the Program Advisory Committee in order to fulfill degree requirements. No more than 15 semester hours of post-masters course work, completed at any university prior to admission to the doctoral program, may be applied towards the degree.

- If transferring course work, complete the Evaluation of Transfer Credit form (see Appendix).
- No courses counted toward a previous master's degree may be counted toward a doctoral degree.
- The College of Education with approval from the Vice Provost for Graduate Programs, may offer graduate courses for credit by examination and course validation. Refer to the Graduate Catalog for guidelines.
- Meet with your Program Advisory Committee in order to plan the Program of Studies. The doctoral student is responsible for organizing this meeting.
- File a completed Doctoral Program of Studies form (see the Appendix) with the COE Office of Graduate Programs.

5. Residency

The purpose of the residency is to provide doctoral students with significant time for sustained participation with peers and faculty members in scholarly/creative activities. Residency is expected to be a vehicle for socialization into the shared community of professional life. Expected outcomes include the acquisition of skills of inquiry, development of research techniques, and the incorporation of professional values. This definition of residency is unrelated to the definition of residency associated with payment of fees.

The residency requires continuous enrollment in conjunction with the planning and completion of a Scholarly Research Project. This project must lead to a paper submitted to a refereed journal or accepted for presentation at a peer reviewed conference. Prior to

beginning the residence time period, the Program of Studies must be approved and submitted to the Director of Graduate Programs.

- Plan a Scholarly Research Project with the assistance of your advisor. Consult with your advisor about the departmental procedures necessary for the approval of a proposal for the Scholarly Project.
- All University of Memphis faculty, staff or students who propose to engage in any research activity involving human subjects must have approval from the Institutional Review Board (IRB) before the research begins. Additional information can be obtained from the following website: <http://irb.memphis.edu/>
- Select one of the following continuous enrollment options:
 - a. 9 hours per semester for 2 consecutive semesters
 - b. 6 hours per semester for 3 consecutive semesters
 - c. consecutive enrollments for 9 hours first summer
 - 3 hours fall
 - 3 hours spring
 - 9 hours second summer

6. Upon Completion of the Scholarly Research Project

- Submit a copy of your Scholarly Research Project to your advisor.
- A copy of your completed Scholarly Research Project, related IRB approval letter, notice of receipt of submission to a peer-reviewed journal or professional meeting, and a memo of transmittal from the chair of your Program Advisory Committee to the COE Director of Graduate Programs must be placed in your folder located within the COE Office of Graduate Programs before being cleared to take the comprehensive examination.

7. Comprehensive Examination (Comps)

The doctoral comprehensive examination assesses the student's mastery of the body of knowledge requisite for the discipline and the student's ability to synthesize and apply that knowledge to issues and problems.

When a doctoral student has completed all course requirements or is enrolled in the last course(s) on the student's Program of Studies, exclusive of the dissertation, and has completed residency including the Scholarly Research Project, the candidate must pass a comprehensive examination. The examination must consist of both written and oral portions and shall be conducted by the student's Program Advisory Committee.

Prior to Comprehensive Examination

- Meet with your advisor to plan for the comprehensive examination and schedule dates for the written comprehensive examination.
- If necessary, file a Change of Program of Studies form (see the Appendix).

- Your advisor files a written request with the COE Office of Graduate Programs for you to take the comprehensive examination. Then the COE Office of Graduate Programs verifies the student's eligibility to sit for the comprehensive examination by verifying completion of courses on the Doctoral Program of Studies and completion of the Residency Project.
- Your advisor receives clearance from the COE Office of Graduate Programs for you to take the comprehensive examination.

Written Comprehensive Examination

The written portion of the comprehensive examination involves a minimum of nine hours with a minimum of two hours covering the College of Education core research area.

The number of questions may vary according to the preferences of the exam coordinator (major advisor), as long as the time allotted fulfills the time requirements for the examination.

Reference materials may be used during the portions of the examination that are subjective and application based. The Program Advisory Committee will decide for which questions, if any, reference materials may be used and the nature of the reference materials to be used.

- Take the written comprehensive examination.

Oral Comprehensive Examination

The oral portion of the examination should be taken within a semester of the written comprehensive examination.

The oral portion of the examination should be conducted to allow the student both to clarify portions of the written examination and to discuss additional questions and issues relevant to the field of study.

There is no set length of time for the oral examination.

Written questions should be evaluated prior to the oral examination and be available for review by the Program Advisory Committee at the time of the examination.

- Take the oral comprehensive examination.

Evaluation of the Comprehensive Examination

At the conclusion of the oral examination, the student should be dismissed from the room while the committee discusses the performance.

The Program Advisory Committee may judge the examination to be successful (pass) or unsuccessful (fail). This evaluation jointly considers the written and oral portions of the comprehensive examination. If unsuccessful, the Program Advisory Committee shall specify what the student should do to address any weaknesses and the time period in which to complete these requirements. The comprehensive examination may be taken no more than twice.

The Program Advisory Committee will complete and sign the comprehensive examination form (see the Appendix). The chair of the Program Advisory Committee (your advisor) is responsible for securing the appropriate signatures and submitting the form to the COE Office of Graduate Programs.

Doctoral Candidacy Status

After passing the comprehensive examination, the student will have achieved Doctoral Candidacy status. From this point, the student must be continuously enrolled (every fall and spring semester) for dissertation credit hours until graduation. Summer registration is also required if defense of the dissertation proposal or of the dissertation occurs during the summer or if actively working with the Dissertation Advisory Committee during the summer.

8. Dissertation Advisory Committee

The Dissertation Advisory Committee will be formed after a student passes the comprehensive examination. It will direct the development of the student's dissertation proposal, dissertation, and final dissertation defense. The Dissertation Advisory Committee must consist of at least four graduate faculty members. Its membership may be the same as the Program Advisory Committee with the addition of one graduate faculty member.

See Section #3 (Program Advisory Committee) on page 5 of this handbook for more specific information about committee membership.

- Meet with your advisor to select a Dissertation Advisory Committee. The Chair of this committee becomes your advisor. Your Chair and previous committee members may remain the same or may change.
- File a Doctoral Committee Appointment form (see the Appendix).

9. Dissertation Proposal

In order to provide a relatively uniform framework for preparation of the doctoral dissertation proposal, the College of Education has specified guidelines to be followed in its preparation.

- Develop your dissertation proposal with the assistance of your advisor.
- Schedule a meeting with your Dissertation Advisory Committee to approve your proposal. All committee members must be active participants in this meeting.
- Give copies of your proposal to each member of your committee at least two weeks prior to this meeting.
- All University of Memphis faculty, staff, or students who propose to engage in any research activity involving human subjects must have approval from the Institutional Review Board

(IRB) before data collection begins. Additional information can be obtained from the following website: <http://irb.memphis.edu/>

- File Proposal Approval Form (see the Appendix) and a copy of the IRB approval letter with the COE Office of Graduate Programs.

10. Dissertation

Dissertations must be prepared according to the guidelines specified by the College of Education. All dissertations in the College of Education conform to the current APA Style Manual. Consult with your Dissertation Advisory Committee in order to determine how they want to be involved in the development and review of your dissertation study. Information about dissertation preparation can be found at the following website: http://www.memphis.edu/gradschool/current_students/tdguide.php.

11. Final Semester and Graduation

The following paragraphs provide a timeline for completing graduation requirements:

- At the beginning of the semester of graduation study the Graduate School information regarding the graduation requirements at this web address: http://www.memphis.edu/gradschool/current_students/graduation_information/graduation.php.
- At the beginning of the semester of graduation file a Doctoral Degree Candidacy form with the COE Office of Graduate Programs. This should first be signed by your committee and then the chair of the department. The Graduate School deadline is typically during the second week of the semester. (<http://www.memphis.edu/gradschool/resources/howddc.php>)
- At the beginning of the semester of graduation electronically submit the Intent to Graduate form to the Graduate School. The deadline is typically during the second week of the semester. (<http://www.memphis.edu/commencement/apply/index.php>)
- No later than nine weeks before graduation, deliver the final draft of your dissertation to your committee chair. Pay the graduation fee (see this website for exact deadline: <http://www.memphis.edu/gradschool/calendar.php>).
- No later than eight weeks before graduation, deliver a final draft of your dissertation to your Dissertation Advisory Committee.
- No later than seven weeks before graduation, schedule the final defense of your dissertation. All committee members must be active participants at the defense.
- At least 3 weeks prior to the date of your final defense examination, submit your announcement to the Graduate School: https://memphis.co1.qualtrics.com/jfe/form/SV_6A3iFZELrGYXohf

- The final defense of your dissertation.
- The Dissertation Advisory Committee completes the Dissertation Defense form (http://www.memphis.edu/gradschool/pdfs/forms/td_defense.pdf). The chair of the Dissertation Advisory Committee (your advisor) is responsible for securing the appropriate signatures and delivering the form to the COE Office of Graduate Programs.
- Revise the dissertation if necessary.
- Submit the revised dissertation to your Dissertation Advisory Committee for approval.
- No later than four weeks before graduation, deliver a final defended draft of your dissertation to the Graduate School. Submit the checklist for users of the American Psychological Association Publication (APA) Manual (latest edition). The checklist can be found on the Graduate School website: http://www.memphis.edu/gradschool/pdfs/forms/apa_checklist.pdf
- No later than 14 days before graduation (for exact date see <http://www.memphis.edu/gradschool/calendar.php>), submit the final copies of your dissertation to the Graduate School.

Graduation! Congratulations!

PART 2

Academic Support Services

1. Academic Computing Services

Apply for a free Universal User ID (UUID) at Client Services, 134 Administration Building, 678-8888. It provides access to computers in TAF labs, university software, on-line library services, email accounts, free web pages, national databases, and electronic networks. Information regarding assistance from the Information Technology Services, including software access, is available from this website: <http://www.memphis.edu/itd/>

2. College of Education Computer Laboratories

The College of Education operates several computer laboratories with equipment available for student use. The computer laboratories are located on the third floor of Ball Hall and are networked with the university and the Internet. The laboratories are open to all students with a valid University of Memphis universal user ID (UUID) in order to log in. Four laboratories are designed to jointly support classroom instruction and general use with one reserved for special advance media applications. The classroom laboratories are available for use when classes are not scheduled. The Ball Hall general use laboratories include Apple MACs and Dell PCs and are open during regular school session as follows:

8:00 AM - 9:00 PM Monday - Thursday

8:00 AM - 4:30 PM Friday

8:30 AM - 12:30 PM Saturday (except summer)

3. Library Services

The University of Memphis libraries include the Ned R. McWherter Library and six branch libraries. The branch libraries include Communication Sciences, Lambuth Campus, Mathematics, and Music.

Computer workstations allow users to search subject databases including education, business, psychology, literature, and dissertations and access electronically stored journals and eBooks. Copy machines that accept Tiger funds on the university student ID cards are available in the main and branch libraries. Using Interlibrary Loan, faculty, staff, and graduate students may request materials from other libraries when not found in The University of Memphis libraries.

For additional information regarding our libraries check this website:

<http://www.memphis.edu/libraries/>

PART 3

Add/Drop/Withdrawal Process

1. Adding Classes

The website for the Office of the Registrar has complete information regarding registration for classes, including late registration. <http://www.memphis.edu/registrar/> Deadlines are included in the Academic Calendar at this website:

<http://www.memphis.edu/registrar/calendars/index.htm>

After the deadline for late registration, a paper process is required and can be found here:

http://www.memphis.edu/cehhs/grad_late_reg.php

2. Dropping Classes/ Withdrawal Procedure

The procedures and support forms needed for dropping classes or withdrawing from the University after the last date specified in the University Calendar for that term can be found at the following website: http://www.memphis.edu/gradschool/current_students/lateproc.php

Bring the completed support form, a Schedule Adjustment form, and required documentation to the College of Education Graduate Programs Office, 215 Ball Education Building. Both forms must be signed by the Director of Graduate Programs in Education.

http://www.memphis.edu/registrar/pdf-docs/forms/sched_adj.pdf

<http://www.memphis.edu/gradschool/pdfs/forms/lateform.pdf>

APPENDIX

Required Doctoral Forms to be filed with the College of Education and the Graduate School

Forms are available at the following websites:

	Date Filed
1. Doctoral Committee Appointment (Program Advisory Committee) http://www.memphis.edu/gradschool/pdfs/forms/td_committee_form.pdf	_____
2. Doctoral Program of Studies http://www.memphis.edu/cehhs/docs/doctoral-program-of-studies.docx	_____
3. Evaluation of Transfer Credit (if needed) http://www.memphis.edu/gradschool/pdfs/forms/doctoral_transfer_credit.pdf	_____
4. Change of Program of Studies http://www.memphis.edu/cehhs/pdfs/change-in-program.htm	_____
5. Comprehensive Examination http://www.memphis.edu/gradschool/pdfs/forms/compexamresults.php	_____
6. Doctoral Committee Appointment (Dissertation Advisory Committee) http://www.memphis.edu/gradschool/pdfs/forms/td_committee_form.pdf	_____
7. Proposal Approval http://www.memphis.edu/gradschool/pdfs/forms/tdproposal.pdf http://www.memphis.edu/rsp/compliance/index.php	_____
8. Doctoral Degree Candidacy https://academics-s.memphis.edu/gradschool/	_____
9. Intent to Graduate http://www.memphis.edu/commencement/apply/index.php	_____
10. Dissertation Defense Announcement https://memphis.co1.qualtrics.com/jfe/form/SV_6A3iFZELrGYXohf	_____
11. Dissertation Defense Results From http://www.memphis.edu/gradschool/pdfs/forms/td_defense.pdf	_____