**FREDERICK P. DEWALD III, D.B.A.**

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***Education***

**Doctor of Business Administration** August 2021

*Concentration in Finance*

University of Wisconsin – Whitewater, Whitewater, WI

**Master of Business Administration** August 2007

*Concentration in Finance*

DePaul University, Chicago, IL

**Bachelor of Science, Business Administration** December 2005

Lewis University, Romeoville, IL

***Professional Experience***

Visiting Assistant Professor, Finance

University of Memphis 2021 - Present

Assistant Professor, Finance

Lewis University 2017 - 2021

Adjunct Instructor, Finance

Lewis University 2010 - 2017

President

First Light Financial 2012 - Present

Portfolio Manager, Global Wealth Management

Morgan Stanley 2007 - 2012

***Peer-Reviewed Scholarly Journal Articles***

Dewald, F.P. & Fan, Z. (2022). How Different Are Minority Managers From White Managers

in the Mutual Fund Industry? *Economics Letters.*

https://doi.org/10.1016/j.econlet.2022.110910

Dewald, F. P., & Vander Schee, B. A. (In Press). Financial services digital badging: Applying self-determination theory to student motivation. *Journal of Financial Education*.

Vander Schee, B. A., & Dewald, F. P. (In Press). Becoming a Salesforce Adventurer in financial services: A digital badging application*.* In J. R. Blair & M. Robles (Eds.), *Effective methods for teaching business related topics during and post crisis*. National Business Education Association.

***Papers Under Consideration***

Dewald, F. P., Fan, Z., & Yu, L. The Price of Admission: A Study of Diversity in Mutual Fund

Manager Hiring. *Management Science.*

***Conference Peer-Reviewed Paper Presentations***

Dewald, F. & Fan, Z. (2021) *Does Manager Race Impact Performance?.* Presented at the 2021

FMA Conference.

Vander Schee, B. A., Dewald, F. P. (2022). *Digital badging across disciplines: Utilizing services*

*marketing in financial education*. Summary brief to be published in the proceedings at the

SMA Conference.

***Manuscripts in Progress***

Dewald, F. P. & Fan, Z. The impact of fund management team diversity on U.S. mutual fund

manager performance.

Yu, L., Fan, Z., & Dewald, F. P. Political impact on ETF volatility spillover.

Dewald, F. P. & Dewald, S. Does White Collar Crime Pay?

***University Teaching Experience***

**Graduate Courses Taught:**

Financing the Business Enterprise

Managerial Finance

Retirement & Estate Planning (face-to-face, hybrid & asynchronous modalities)

**Undergraduate Courses Taught:**

Retirement & Estate Planning (face-to-face, hybrid & asynchronous modalities)

Personal Financial Planning (face-to-face, hybrid, online synchronous & asynchronous modalities)

Personal Financial Management (face-to-face, hybrid, online synchronous & asynchronous modalities)

Financial Management (face-to-face, hybrid & asynchronous modalities)

Principles of Finance (face-to-face, hybrid, online synchronous & asynchronous modalities)

Corporate Finance (face-to-face, hybrid, online synchronous & asynchronous modalities)

Investment Analysis (face-to-face, hybrid, & online asynchronous modalities)

International Finance (face-to-face, hybrid, online synchronous & asynchronous modalities)

Topics & Cases in Finance

***Professional Licenses***

Registered Investment Advisor

Series 7, 31 & 66

***Service to University***

2021-Present Director of the Cook Analytics & Trading Lab, University of Memphis

2021-Present Faculty Advisor, University of Memphis Finance Club

2022 Faculty Advisor, University of Memphis Bloomberg Trading Challenge

2022 Faculty Advisor, University of Memphis CME Trading Challenge

2022 Faculty Advisor, University of Memphis TVA Portfolio Challenge Team

2021 Presentation to the University of Memphis Athletic Department: “Budget and Personal Finance” presentation for Tennessee state NIL requirement.

2021 Presentation to the Women’s Volleyball Team: “Understanding your Credit”

2021 Presentation to the Women’s Volleyball Team: “Understanding Investments”

2021 Presentation to the Women’s Volleyball Team: “How to Jump Start a Car”

2020-2021 Director of the MS in Finance Program, Lewis University

2017-2021 Faculty Advisor, Lewis University Economics & Finance Investment Club

2020-2021 Faculty Mentor, Lewis University Men’s Baseball Team

2015-2021 Faculty Representative student orientation

2019-2021 Student Advising for current students, incoming Freshman and transfer students

2017-2021 Faculty representative for the College of Business at student recruitment events

2017-2020 Faculty Interviewer, Lewis University LaSallian Scholarship

2014-2017 Faculty Advisor, Lewis University Rotoract Club

***Professional Development***

2019 Online Teaching Certificate, Lewis University

2009 Certified Financial Planner Curriculum, The American College

***Professional Affiliations***

2020-Present Member of the Financial Management Association

2018-Present Member of the CFA Society of Chicago

2018-Present Member of the Midwest Finance Association