Graduate School The University of Memphis 201 FedEx Institute of Technology Building, 365 Innovation Drive

Thesis/Dissertation Checklist

Listed below is a summary of the Graduate School on-line Thesis/Dissertation requirements. This checklist **should** be used in addition to what is specified by your committee/department. If your department requires formatting changes different than the style manual or refereed journal, please notify the Graduate School of these additional changes. This information must be included with your review document. Review Item 6 in the **Thesis/Dissertation Preparation Guide** for submission requirements of defended and corrected theses or dissertations.

This checklist should be submitted along with the Final Committee Approval Form for ETD Submission. After these forms are submitted the next step is submitting the final document to ProQuest - see the Thesis/Dissertation Preparation Guide on the UofM website for instructions. Both you and your major professor **must** check all applicable items followed for formatting purposes and each must sign. Please indicate the style guide used for formatting purposes: _____APA; ____ASA; ____Turabian; ____Chicago Manual; ____ MLA; ___LaTex. If a journal was used, provide a sample article with your submission and indicate the name of the journal here:

MARGINS REQUIREMENTS:

_____1.00" left, top, right, and bottom margins for all pages. If you wish to eventually have bound copies, you may set your left margin for 1.50".

ORGANIZATION OF THESIS/DISSERTATION:

- ____1. Title Page
- _____2. Copyright page (Optional)
- _____ 3. Dedication Page (Optional)
- _____4. Acknowledgments (Optional)
- 5. Preface (Only when journal article formatting is used)
- 6. Abstract (350 words for dissertations, 150 words for theses)
- ____7. Table of Contents
- ____ 8. List of Tables (only used for 5 or more), with page numbers
- 9. List of Figures (only used for 5 or more), with page numbers
- _____10. List of Plates (only if needed), with page numbers
- _____11. List of Symbols and/or Abbreviations (only if needed)
- ____ 12. Body of Thesis/Dissertation
- _____13. Bibliography/References/Works Cited
- _____14. Appendix or Appendices
- ____15. Permission letter(s) for any copyrighted materials used in text
- ____16. IRB or IACUC Approval or waiver (if human or animal subjects were used no

signatures please)

FONT SIZE:

_____12 point is preferred; however, 10 point may be used, if approved by student's committee.

FONT TYPE:

_ Times New Roman is preferred; however specialized fonts appropriate for specific typesetting needs (such as formulas and equations in Mathematical Sciences and other departments) may be used, if approved by student's committee.

SPACING:

____ Double spacing will be the default for the entire document including before and after all centered headings and subheadings; this requirement may vary by department preference and/or by the style guide or refereed journal used for formatting.

PAGINATION:

- Every page must be assigned a number and page numbers should stand alone without any form of punctuation.
 All page numbers must be centered 1/2" from the bottom of the page (last line of text must be 1" from bottom).
- _____ The title page is assumed to be numbered lowercase Roman numeral "i"; the actual number should **NOT** be displayed on this page. (Use a section break to solve this issue).
- _____ All other preliminary pages (e.g., Copyright, Dedication, Acknowledgement, Abstract, Table of Contents, etc.) must be numbered in lowercase Roman numerals beginning with "ii"
- Pages in the body of text must be numbered using Arabic numerals beginning with "1".
- _____ All page numbers in the Table of Contents and the List of Tables and List of Figures **MUST** correspond with actual page numbers in the text.

RUNNING HEADERS, FOOTNOTES AND ENDNOTES

- _____ If footnotes are used, they must conform to margin requirements and must begin on the page they are cited.
- ____ Footnotes must be two font sizes smaller than document text (10 pt).
- _____ Single space footnote entries and double space between each.
- _____Footnotes are to be renumbered beginning with Arabic number "1" for each chapter or section.
- ____ Running headers and endnotes are **NOT** allowed.

CENTERED HEADINGS AND SECTION HEADS

- ____ Chapter headings **MUST** be centered; unless otherwise specified by student's committee. If they are not centered, please send justification with review copy.
- _____ Section headings should be consistent throughout entire document.
- Preliminary page titles (i.e., Abstract, Table of Contents, etc.) and all chapter or section headings **MUST** be centered unless otherwise specified by student's committee.
- _____ If a subheading falls at the end of a page without any accompanying text, move subheading to the next page.
- Do NOT use a numbering system for title and subheadings (e.g., 1.1, 1.1.1), unless required by style manual, refereed journal or by approval of student's committee. If they are numbered, please send justification with review copy.

TABLES/FIGURES:

- ____ Format all tables/figures, including the caption, according to required style manual or refereed journal.
- ____ All tables/figures must conform to required margin requirements.
- _____ There must be a line before and after column headers and at the end of each table; however this may vary according to the style guide or journal used for formatting purposes.
- When a table is continued to another page(s), repeat table number and column headers; label table title as such Table 1 (Continued)
- _____ Tables/figures must be identified in the text by a number (e.g., Table 1, do **not** label as Table 1.1, Table 2.1, etc., unless specified otherwise by student's committee
- _____ Table numbers and titles must be typed **above** the table.
- _____ Figure numbers and captions must be typed **below** the figure.
- _____ Table/figure captions must appear on the same page as the table/figure.
- ____ If a table/figure is taken directly from another source, the entire source must be cited below the table/figure.
- _____ Tables/figures may follow the page on which they are first referenced, or they may be included in a separate appendix. **EXCEPTION:** All tables/figures must be incorporated within the text for dissertations only.
- _____Tables/figures must be numbered consecutively throughout the text, unless specified otherwise by student's committee.

MISCELLANEOUS:

- _____ Left align text (full justification of the right-hand margin is not allowed; margins must be jagged).
- _____ If mathematical equations are used within the text, double space before **AND** after each equation.
- _____ Always spell out a number if it begins a sentence or paragraph.
- ____ Color figures, illustrations or charts are acceptable.

I have checked the manuscript for all of the above items.	
Student's Name (please print):	
Signature:	Date:
E-mail Address:	
***************************************	****************
* I have checked the manuscript for all of the above items and repro	esent committee approval of the selected style
guide.	
Thesis/Dissertation Chair's Name (please	
print):	
Signature:	
Date:	