

# Random Union-Closed Families

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## Abstract

We shall show that the union-closed families conjecture holds for a random union-closed family with high probability. This strengthens a recent result of Bruhn and Schaudt.

## 1 Introduction

A family  $\mathcal{A}$  of subsets of a set  $N$  is said to be an *up-set* if the conditions  $A \in \mathcal{A}$  and  $A \subset B \subset N$  imply that  $B \in \mathcal{A}$ , and is *union-closed* (UC) if the union of any two members of  $\mathcal{A}$  is again in  $\mathcal{A}$ . Also, we call  $\mathcal{A}$  *globally large* (GL) if the average size of a member of  $\mathcal{A}$  is at least  $|N|/2$ , and *locally large* (LL) if some element  $x \in N$  is in at least half of the sets in  $\mathcal{A}$ . Clearly, every globally large family is also locally large.

In what follows, we shall consider only *non-trivial* families, i.e., families containing at least one non-empty set, although occasionally we may not explicitly say so. Note that every non-trivial up-set  $\mathcal{A}$  is globally large and locally large in the much stronger sense that *every*  $x \in N$  is contained in at least half of the sets in  $\mathcal{A}$ . Indeed, for  $\mathcal{A}_- = \{A \in \mathcal{A} : x \notin A\}$  and  $\mathcal{A}_+ = \{A \in \mathcal{A} : x \in A\}$ , the map  $\mathcal{A}_- \rightarrow \mathcal{A}_+$  given by  $A \mapsto A \cup \{x\}$  is

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an injection. The *union-closed conjecture* states that every non-trivial UC-family is locally large. This conjecture has been a folklore conjecture since the late 1960s, and was made well-known by Frankl, who rediscovered it in the late 1970s (see [7, 8]). The aim of this note is to show that with high probability a random UC-family is globally large, so the union-closed conjecture holds for ‘almost all’ UC families.

For this statement to have any meaning, we have to decide how we define a random UC-family. Perhaps the most natural way goes as follows. Select a family  $\mathcal{B}$  of random subsets of  $N$ , and take for  $\mathcal{A}$  the collection  $\mathcal{U}(\mathcal{B})$  of sets of the form  $\bigcup_{B \in \mathcal{C}} B$ ,  $\mathcal{C} \subset \mathcal{B}$ ; we call  $\mathcal{A} = \mathcal{U}(\mathcal{B})$  the UC-family with *basis*  $\mathcal{B}$ , or the UC-family *generated by*  $\mathcal{B}$ . Having said this, we have to decide how we choose our random basis  $\mathcal{B}$ . Here is a simple way; we shall discuss other possibilities later. For  $0 < p < 1$ , let  $B \subset N$  be obtained by choosing the elements of  $N$  with probability  $p$ , independently of each other. (In particular,  $|B|$  has binomial distribution with parameters  $n = |N|$  and  $p$ .) We call  $B$  a *p-random subset* of  $N$ . Then let  $\mathcal{B} = \mathcal{B}(n, m; p)$  be a sequence of  $m$  independent  $p$ -random subsets of  $V$ :  $\mathcal{B} = \{B_1, \dots, B_m\}$ . Note that the elements of  $\mathcal{B}$  need not be distinct. Finally,

$$\mathcal{A}(n, m; p) = \mathcal{U}(\mathcal{B})$$

is our random UC-family.

Recall that there is a trivial way of identifying a sequence  $\mathcal{B} = (B_i)_{i=1}^m$  of subsets of  $N$  with a bipartite graph  $G(\mathcal{B})$  with bipartition  $(N, \mathcal{B})$ : simply join  $x \in N$  to  $B_i \in \mathcal{B}$  by an edge if  $x \in B_i$ . Conversely, a bipartite graph  $G$  with bipartition  $(N, M)$  is identified with the multi-family  $\mathcal{B}(G)$  on  $N$  consisting of all the neighbourhoods  $\Gamma(y) \subset N$  of the vertices  $y \in M$ . (Clearly,  $\mathcal{B}(G)$  is a family and not a multi-family of subsets of  $N$  if and only if no two vertices of  $M$  are *twins*, i.e. any two of them have different neighbourhoods.)

With this identification, the random bipartite graph  $G(\mathcal{B}(n, m; p))$  is precisely the random  $n$  by  $m$  bipartite graph  $G_{N, M, p}$  defined by taking bipartite classes  $N$  and  $M$  with  $|N| = n$ ,  $|M| = m$ , and including edges  $xy$ ,  $x \in N$ ,  $y \in M$ , independently with probability  $p$ . This gives us the following natural definition of a *random UC-family with parameters  $n$ ,  $m$  and  $p$* :

$$\mathcal{A}(n, m; p) = \mathcal{U}(\mathcal{B}(G(n, m; p))).$$

This identification of  $\mathcal{B}$  with  $G(\mathcal{B})$  also gives us a simple description of the elements of  $\mathcal{A} = \mathcal{U}(\mathcal{B}) = \mathcal{U}(G)$  in terms of the bipartite graph  $G$ . Note

that a set  $A \cup \mathcal{C}$  of vertices of  $G$ , with  $A \subset N$  and  $\mathcal{C} \subset \mathcal{B}$ , is an independent set in  $G(\mathcal{B})$  if no vertex  $x \in A$  is in any of the sets in  $\mathcal{C}$ , i.e., if  $A$  and  $\bigcup_{B \in \mathcal{C}} B$  are disjoint sets. Hence,  $A \cup \mathcal{C}$  is a *maximal independent set* of vertices if  $A = N \setminus \bigcup_{B \in \mathcal{C}} B$  and  $\mathcal{C} = \bar{\mathcal{C}} = \{B' \in \mathcal{B} : B' \subset \bigcup_{B \in \mathcal{C}} B\}$ . But what are the sets of the form  $\bigcup_{B \in \mathcal{C}} B$  ( $= \bigcup_{B \in \bar{\mathcal{C}}} B$ )? The elements of  $\mathcal{A}$ . Thus  $\mathcal{A}$  consists of the complements of  $I \cap N$  in  $N$ , where  $I$  is a maximal independent set of vertices of  $G$ . Equivalently,  $\mathcal{A}$  consists of the subsets of  $N$  of the form  $N \setminus I$ , where  $I$  is a maximal independent set of vertices of  $G$ . This was first observed by Bruhn, Charbit and Telle [2]; see also ElZahar [6].

As we have seen, if  $G$  is a bipartite graph with bipartition  $(N, M)$  then for every set  $A \subset N$  there is at most one maximal independent set of vertices of  $G$  intersecting  $N$  in  $A$ ; if there *is* one, we call  $A$  *good*, so that the elements of  $\mathcal{A}$  are precisely the complements of the good sets in  $N$ . Hence  $\mathcal{U}(G)$  is globally large if the average size of the good sets is at most  $|N|/2$ , and it is locally large if some vertex  $x \in N$  is in at most half of the good sets.

Our main aim of this paper is to show that if  $\max\{n, m\} \rightarrow \infty$  and  $p \in (0, 1)$  is fixed, then with high probability (whp)  $G = G_{N, M, p}$  is such that  $\mathcal{U}(G)$  is locally large, i.e., the probability that  $\mathcal{U}(G)$  is locally large tends to 1. Indeed, whp  $G$  is globally large after the removal of isolated vertices. An approximate version of this was proved by Bruhn and Schaudt [3]. Earlier, the average size of a set in a union-closed family had been studied by Reimer [9], Czédli [4], Czédli, Maróti and Schmidt [5], and Balla, Bollobás and Eccles [1].

Having pointed out the connection between random union-closed families and random bipartite graphs, from now on we shall work exclusively with random bipartite graphs  $G_{N, M, p}$  and prove our result in the following form.

**Theorem 1.** *For fixed  $p \in (0, 1)$  and  $\max\{|N|, |M|\} \rightarrow \infty$ , whp there exists a vertex in  $N$  which lies in at most half of all maximal independent subsets of  $G_{N, M, p}$ . Indeed, after removing isolated vertices from  $N$ , the average of  $|I \cap N|$  over all maximal independent sets  $I$  is at most  $|N|/2$ .*

## 2 Proofs

We start by restating the following observations made in the introduction.

**Lemma 2.** *If  $A \subset N$  then there is at most one maximal independent set  $I$  of  $G$  with  $I \cap N = A$ . Moreover, such a maximal independent set exists iff*

there is no  $x \in N \setminus A$  with  $\Gamma(x) \subset \Gamma(A)$ .

*Proof.* If  $I \cap N = A$  and  $I$  is an independent set then  $\Gamma(A) \cap I = \emptyset$ . On the other hand, by maximality of  $I$ , any element of  $M$  that is not in  $\Gamma(A)$  must lie in  $I$  as we can safely add such points to  $I$  while keeping the set  $I$  independent. Hence  $I \cap M = M \setminus \Gamma(A)$  and  $I = A \cup (M \setminus \Gamma(A))$  is uniquely determined by  $A$ . This set is a maximal independent set precisely when no  $x \in N \setminus A$  can be added to  $I$  retaining independence, i.e., when there is no  $x \in N \setminus A$  with  $\Gamma(x) \subset \Gamma(A)$ .  $\square$

We call a subset  $A \subset N$  *good* if it is the  $N$ -part of a maximal independent set, i.e. there is a (unique) maximal independent set  $I$  (in  $G_{N,M,p}$ ) such that  $A = I \cap N$  and *bad* otherwise.

**Lemma 3.** *Fix a set  $A \subset N$  of size  $a$ . Then the probability that  $A$  is good is*

$$\sum_{s=1}^m \binom{m}{s} q^{as} (1 - q^a)^{m-s} (1 - q^s)^{n-a},$$

where  $q = 1 - p$ . Also, the probability that  $A$  is bad is bounded above by  $n(1 - pq^a)^m$ .

*Proof.* Fix a set  $S \subset M$  of size  $s$ . The probability that  $\Gamma(A) = M \setminus S$  is exactly  $(q^a)^s (1 - q^a)^{m-s}$ . Conditioning on the edges from  $A$ , the probability that the neighbourhood of every  $x \in N \setminus A$  meets  $S$  is  $(1 - q^s)^{n-a}$ . Multiplying these probabilities and summing over all choices of  $S$  gives the expression for the probability that  $A$  is good.

We use a different approach to bound the probability that  $A$  is bad. Fix  $A$  and  $x \in N \setminus A$ . The event that  $\Gamma(x) \subset \Gamma(A)$  is just the intersection over  $y \in M$  of the event that it is not the case that  $y \in \Gamma(x)$  and  $y \notin \Gamma(A)$ . For each  $y$  the probability of this event is just  $1 - pq^a$ . As these events are independent for different  $y$ , the probability that  $\Gamma(x) \subset \Gamma(A)$  is  $(1 - pq^a)^m$ . As there are  $n - a \leq n$  choices for  $x$ , the union bound gives that the probability that  $A$  is bad is at most  $n(1 - pq^a)^m$ . (Note that the events that  $\Gamma(x) \subset \Gamma(A)$  are not independent for different values of  $x$  as there is a strong dependence via the size of  $\Gamma(A)$ .)  $\square$

As noted in the introduction, to show that there is a vertex of  $N$  that is in at most half of all maximal independent sets, it is clearly enough to

show that the average size of a good set is at most  $n/2$ , or equivalently, the average size of a bad set is least  $n/2$ .

Define for  $0 \leq t \leq n/2$ ,

$$\begin{aligned} g_t &= \sum_{A \text{ good}, |A| \geq n-t} (2|A| - n), \\ b_t &= \sum_{A \text{ bad}, |A| \leq t} (n - 2|A|), \\ c_t &= \sum_{|A| \geq n-t} (2|A| - n) = \sum_{|A| \leq t} (n - 2|A|) = \sum_{i=0}^t (n - 2i) \binom{n}{i} \end{aligned}$$

Note that  $g_t, b_t \leq c_t$ .

**Lemma 4.**

- (a)  $c_t = (n - t) \binom{n}{t}$ .
- (b)  $c_{n/2 - o(\sqrt{n})} \sim c_{n/2} \sim \sqrt{n/2\pi} 2^n$ .
- (c)  $c_t$  is log-concave for  $0 \leq t \leq n/2$ .

Recall that a positive sequence  $a_t$  is *log-concave* if  $\log a_t$  is a concave function of  $t$ , or equivalently,  $a_t^2 \geq a_{t-1}a_{t+1}$  for all  $t$ .

*Proof.* For (a),

$$\begin{aligned} c_t &= \sum_{i=0}^t (n - i) \binom{n}{i} - \sum_{i=0}^t i \binom{n}{i} = \sum_{i=0}^t \frac{n!}{(n-i-1)!i!} - \sum_{i=1}^t \frac{n!}{(n-i)!(i-1)!} \\ &= \sum_{i=0}^t \frac{n!}{(n-i-1)!i!} - \sum_{i=0}^{t-1} \frac{n!}{(n-i-1)!i!} = \frac{n!}{(n-t-1)!t!} = (n - t) \binom{n}{t}. \end{aligned}$$

For (b), note that as  $\binom{n}{\lfloor n/2 \rfloor} \sim \sqrt{2/\pi n} 2^n$ ,  $c_{n/2} = \lfloor n/2 \rfloor \binom{n}{\lfloor n/2 \rfloor} \sim \sqrt{n/2\pi} 2^n$ . Finally,  $c_{n/2} \geq c_{n/2-t} \geq c_{n/2} - O(t^2) \binom{n}{\lfloor n/2 \rfloor} = (1 - o(1))c_{n/2}$  when  $t = o(\sqrt{n})$ . For (c), note first that if  $a_t$  is a log-concave sequence then so is  $s_t = \sum_{i=0}^t a_i$ . Indeed,  $s_t^2 - s_{t+1}s_{t-1} = s_t^2 - (s_t - a_t)(s_t + a_{t+1}) = s_t(a_t - a_{t+1}) + a_t a_{t+1}$ . This is non-negative when  $a_t \geq a_{t+1}$ , so suppose  $a_t = \gamma a_{t+1}$  with  $\gamma < 1$ . Then  $a_{t-i} \leq \gamma^i a_t$ , and so  $s_t \leq a_t/(1 - \gamma)$ . Then  $s_t(a_t - a_{t+1}) + a_t a_{t+1} \geq a_t^2(1 - 1/\gamma)/(1 - \gamma) - a_t^2/\gamma = 0$ . The result follows as  $a_t = (n - 2t) \binom{n}{t}$  is

log-concave:

$$\begin{aligned}
a_{t+1}a_{t-1} &= (n-2t+2)(n-2t-2)\binom{n}{t-1}\binom{n}{t+1} \\
&= ((n-2t)^2-4)\binom{n}{t}^2\frac{t}{n-t+1}\frac{n-t}{t+1} \\
&< (n-2t)^2\binom{n}{t}^2 = a_t^2.
\end{aligned}$$

□

**Lemma 5.** *Assume  $0 \leq t \leq n/2$ . If  $b_{n/2} + g_t \leq c_t$  or if  $b_t + g_{n/2} \leq c_t$ , then the average size of a good set is at most  $n/2$ .*

*Proof.* In the first case

$$\sum_{A \text{ bad}} (2|A| - n) = (c_t - g_t) - b_{n/2} + \sum_{\substack{A \text{ bad} \\ n/2 < |A| < n-t}} (2|A| - n) \geq c_t - g_t - b_{n/2} \geq 0,$$

so the average size of a bad set is at least  $n/2$ . In the second case

$$\sum_{A \text{ good}} (2|A| - n) = g_{n/2} + (b_t - c_t) + \sum_{\substack{A \text{ good} \\ t < |A| < n/2}} (2|A| - n) \leq g_{n/2} + b_t - c_t \leq 0,$$

so the average size of a good set is at most  $n/2$ . □

**Lemma 6.** *Suppose  $t$  satisfies  $0 \leq t \leq n/2$  and  $mpq^t \geq 2 \log n$ . Then*

$$\mathbb{E}(b_t) \leq c_t/n.$$

*Proof.* If  $A$  is a set of size  $a \leq t$  then, by Lemma 3, the probability that  $A$  is bad is at most  $n(1-pq^a)^m \leq ne^{-mpq^a} \leq 1/n$  as  $mpq^a \geq mpq^t \geq 2 \log n$ . The result follows by linearity of expectation. □

We can now easily deal with the case when  $m$  is very large compared to  $n$ .

**Lemma 7.** *If  $\max\{m, n\} \rightarrow \infty$  in such a way that  $mpq^{n/2} \geq n$ , then whp  $b_{n/2} = 0$ . In particular, the average size of a good set is at most  $n/2$  whp.*

*Proof.* The expected number of bad sets  $A$  of size at most  $n/2$  is at most  $2^n$  times  $n(1-pq^{n/2})^m$  by Lemma 3. Now

$$2^n n (1-pq^{n/2})^m \leq \exp\{n \log 2 + \log n - mpq^{n/2}\},$$

which tends to 0 under the conditions of the lemma. (If  $n \rightarrow \infty$  then this is clear as  $mpq^{n/2} \geq n$ . For bounded  $n$  we must have  $m \rightarrow \infty$ , for which it is also clear.) Hence whp all sets  $A \subset N$  of size at most  $n/2$  are good, i.e.,  $b_{n/2} = 0$ . The last statement follows from Lemma 5 as  $b_{n/2} + g_t = g_t \leq c_t$ . □

**Lemma 8.** Assume  $k$  is an integer such that  $m \leq q^{-k}$  and  $t$  is such that  $0 \leq t \leq n/2$ . Then

$$\mathbb{E}(g_t) \leq en2^k + ec_t / \binom{n-k}{t}.$$

*Proof.* By Lemma 3,

$$\begin{aligned} \mathbb{E}(g_t) &= \sum_{a \geq n-t} (2a-n) \binom{n}{a} \sum_{s=1}^m \binom{m}{s} q^{as} (1-q^a)^{m-s} (1-q^s)^{n-a} \\ &\leq \sum_{s=1}^m \binom{m}{s} \sum_{a \geq n-t} (2a-n) \binom{n}{a} q^{as} (1-q^s)^{n-a}. \end{aligned}$$

If  $q^s \geq 1/2$  then

$$\sum_{a \geq n-t} (2a-n) \binom{n}{a} q^{as} (1-q^s)^{n-a} \leq n \sum_{a=0}^n \binom{n}{a} q^{as} (1-q^s)^{n-a} = n.$$

Now  $\binom{m}{s} n \leq nm^s/s! \leq nq^{-sk}/s! \leq n2^k/s!$ . Summing over  $s$  gives at most  $en2^k$ . If  $q^s < 1/2$  then

$$\sum_{a \geq n-t} (2a-n) \binom{n}{a} q^{as} (1-q^s)^{n-a} \leq c_t q^{(n-t)s} (1-q^s)^t.$$

Now

$$\binom{m}{s} c_t q^{(n-t)s} (1-q^s)^t \leq c_t s!^{-1} (q^s)^{n-t-k} (1-q^s)^t.$$

But  $\binom{n-k}{t} (q^s)^{n-t-k} (1-q^s)^t \leq (q^s + (1-q^s))^{n-k} = 1$ , so

$$\binom{m}{s} c_t q^{(n-t)s} (1-q^s)^t \leq c_t s!^{-1} / \binom{n-k}{t}.$$

Summing over  $s$  gives the second term.  $\square$

**Lemma 9.** Suppose  $mpq \geq 2 \log n$ ,  $mpq^{n/2} < n$ , and  $p \in (0, 1)$  is fixed. Then the average size of a good set is at most  $n/2$  whp as  $n \rightarrow \infty$  (uniformly in  $m$ ).

*Proof.* Let  $t$  be maximal such that  $mpq^t \geq 2 \log n$ . Then  $1 \leq t \leq n/2 + O(\log n)$ . Indeed,  $mpq^{n/2+C \log n} < nq^{C \log n} \leq 1 < 2 \log n$  for a sufficiently large constant  $C$  depending on  $q$ . Let  $k$  be minimal such that  $m \leq q^{-k}$ . Then  $0 \leq k-t \leq \log_{1/q}((2 \log n)/p) + 2 = o(\log n)$ . Also, by Lemma 8,  $\mathbb{E}(g_s) \leq en2^k + ec_s / \binom{n-k}{s}$  for  $0 \leq s \leq n/2$ .

Assume first that  $k \geq n/2$ . Then  $k = n/2 + O(\log n)$ . Let  $s = n - k - 1$ . Then

$$\mathbb{E}(g_{n/2}) \leq (c_{n/2} - c_s) + \mathbb{E}(g_s) \leq (c_{n/2} - c_s) + en2^k + ec_s/(n - k).$$

But  $s = n/2 - O(\log n)$ , so  $(c_{n/2} - c_s) = o(c_{n/2})$  by Lemma 4. Also  $k \leq (1 - \varepsilon)n$ , so  $en2^k = o(c_{n/2})$  and as  $n \rightarrow \infty$ ,  $ec_s/(n - k) = o(c_{n/2})$ . Write  $t' = \min\{t, \lfloor n/2 \rfloor\}$ . Then  $t' = n/2 - O(\log n)$ , so  $c_{t'} \sim c_{n/2}$ . Thus  $\mathbb{E}(g_{n/2}) = o(c_{t'})$ . However,  $\mathbb{E}(b_{t'}) = o(c_{t'})$  by Lemma 6, so by Markov's inequality  $b_{t'} + g_{n/2} \leq c_{t'}$  whp. The result now follows from Lemma 5. Note that the bounds in the  $o(\cdot)$ -notation depend only on  $n$ , so the probability that the average size of a good set is less than  $n/2$  tends to zero uniformly in  $m$ .

Now assume  $k < n/2$ . Then

$$\mathbb{E}(g_{n/2}) \leq en2^k + ec_{n/2}/\binom{n-k}{\lfloor n/2 \rfloor}.$$

The difference  $k - t$  is (up to a constant) a function of  $n$ . Fixing  $k - t = \alpha$  (which is essentially the same as fixing  $n$ ) and letting  $m$  vary, we note that both  $c_t$  and  $\binom{n-k}{\lfloor n/2 \rfloor}$  are log-concave as functions of  $t$ . Thus if  $ec_{n/2}/\binom{n-k}{\lfloor n/2 \rfloor} \leq \varepsilon c_t$  for  $t = t_0, t_1$  then this holds throughout the range  $t_0 \leq t \leq t_1$ . Similarly, if  $en2^k \leq \varepsilon c_t$  for  $t = t_0, t_1$ , then this holds throughout the range  $t_0 \leq t \leq t_1$ . Thus if  $\mathbb{E}(g_{n/2}) \leq \varepsilon c_t$  for  $t = t_0, t_1$  then  $\mathbb{E}(g_{n/2}) \leq 2\varepsilon c_t$  for all  $t \in [t_0, t_1]$ .

Fix any sufficiently small  $\varepsilon > 0$ . Take  $t = t_1 = \lceil n/2 \rceil - 1 - \alpha$  so that  $k = \lceil n/2 \rceil - 1$ . Then  $\mathbb{E}(g_{n/2}) \leq en2^{n/2} + ec_{n/2}/(n/2) \leq \varepsilon c_t$  for sufficiently large  $n$ . If  $t = t_0 = 1$  then  $c_t = n(n - 1)$  and  $k = o(\log n)$ , so  $ec_{n/2}/\binom{n-k}{\lfloor n/2 \rfloor} = \Theta(n2^n/2^{n-k}) = \Theta(n2^k) = o(n^2)$ . Thus for  $n$  sufficiently large,  $\mathbb{E}(g_{n/2}) \leq \varepsilon c_t$  for  $t = t_0 = 1$ . Thus  $\mathbb{E}(g_{n/2}) = o(c_t)$  for  $t \geq 1$  and  $k < n/2$  as  $n \rightarrow \infty$ , uniformly in  $m$ . Once again  $\mathbb{E}(b_t) \leq c_t/n = o(c_t)$  uniformly in  $m$ , and so by Markov,  $g_{n/2} + b_t \leq c_t$  whp as  $n \rightarrow \infty$ , uniformly in  $m$ . The result now follows from Lemma 5.  $\square$

To deal with the case when  $mpq$  is only slightly less than  $2 \log n$ , we refine the argument above to more carefully bound the contribution from small good sets.

**Lemma 10.** *Suppose  $mpq < 2 \log n$  and  $m = \omega(\log \log n)$ . Then the average size of a good set is at most  $n/2$  whp as  $n \rightarrow \infty$ .*

*Proof.* Let

$$\tilde{g} = \sum_{A \text{ good}, |A| < n/2} (n - 2|A|).$$



Then it is enough to show that  $\tilde{g} \geq g_{n/2}$ . Now  $m \leq q^{-k}$  where  $k = O(\log \log n)$ , so  $\mathbb{E}(g_{n/2}) = O(n2^k) \leq n(\log n)^C$  for some  $C > 0$ . For each  $x \in N$ , consider the set  $[x] = \{y \in N : \Gamma(y) \subset \Gamma(x)\}$ . Clearly  $[x]$  is a good set. Hence

$$\tilde{g} \geq \frac{n}{2} |\{[x] : |[x]| < n/4\}|.$$

Now  $[x] = [y]$  iff  $\Gamma(x) = \Gamma(y)$ , which occurs with probability at most  $\max\{p, q\}^m = \gamma^m$ , uniformly in the size of  $\Gamma(x)$ . Let  $r = \min\{n, \gamma^{-m}/2\}$  and choose  $r$  elements  $x_1, \dots, x_r$  from  $N$ . For fixed  $i$ , the probability that  $[x_i]$  is equal to some other  $[x_j]$  is at most  $r\gamma^m \leq 1/2$ . If  $|M \setminus \Gamma(x_i)| = s$  then the size of  $[x_i]$  is given as  $1 + \text{Bin}(n-1, q^s)$ , where  $\text{Bin}(n-1, q^s)$  is a binomial random variable with parameters  $n-1$  and  $q^s$ . Thus as  $n \rightarrow \infty$ , the Chernoff bound implies  $|[x_i]| < n/4$  whp when  $q^s < 1/8$ , say. Another application of Chernoff shows that as  $m \rightarrow \infty$ ,  $s > qm/2$  whp, and in particular  $q^s < 1/8$  whp. Hence  $\mathbb{P}(|[x_i]| < n/4) \rightarrow 1$ . Thus  $[x_i]$  contributes to  $\tilde{g}$  with probability at least  $1/3$ , say. Hence  $\mathbb{E}(\tilde{g}) \geq rn/6$ . If  $r/6 \gg (\log n)^C$ , then by Markov,  $\tilde{g} \geq g_{n/2}$  whp. Clearly this holds if  $r = n \rightarrow \infty$ . If  $r = \gamma^{-m}/2$  then this holds when  $m = \omega(\log \log n)$ .  $\square$

We are now left to deal with the case when  $m$  is very small, including the case when  $m$  is constant. In this case it is not enough to just consider the average sizes of the good sets. Indeed, there may be many isolated vertices in  $N$  which will be included in all good sets, making these sets larger.

To determine whether a point is in more or less than a half of all independent sets, it is enough, and will be more convenient, to consider both a set  $A \subset N$  and its complement  $N \setminus A$  and consider only the cases where one is good and the other is bad.

**Lemma 11.** *Suppose  $A \subset N$  is bad, but  $N \setminus A$  is good. Then there exists a  $C \subset M$  such that  $A = \Gamma(C)$ . Conversely, if  $A = \Gamma(C) \neq N$  and  $\Gamma(A) = M$  then  $A$  is bad and  $N \setminus A$  is good.*

*Proof.* Assume  $A$  is bad and  $N \setminus A$  is good. Let  $C = M \setminus \Gamma(N \setminus A)$ . The fact that  $N \setminus A$  is good implies that for each  $x \in A$ ,  $\Gamma(x) \not\subset \Gamma(N \setminus A)$ . Hence there must be a vertex in  $C$  adjacent to  $x$ . Hence  $\Gamma(C) \supseteq A$ . But by definition  $\Gamma(C) \cap (N \setminus A) = \emptyset$ , so in fact  $\Gamma(C) = A$ .

For the converse, assume  $A = \Gamma(C) \neq N$  and in addition assume  $\Gamma(A) = M$ . Pick  $x \in N \setminus A$ . Clearly  $\Gamma(x) \subset M = \Gamma(A)$ , so  $A$  is bad. On the other hand, if  $x \in A$ , then  $\emptyset \neq \Gamma(x) \subset C$ . But  $\Gamma(N \setminus A) \cap C = \emptyset$ , so  $\Gamma(x) \not\subset \Gamma(N \setminus A)$ . Hence  $N \setminus A$  is good.  $\square$

**Corollary 12.** *If  $G = G_{N,M,p}$  is such that every two vertices in  $M$  has a common neighbour in  $N$ , and if the average size of a set  $A \subset N$  that can be written in the form  $A = \Gamma(C)$  is at least  $|\Gamma(M)|/2$ , then the conclusion of Theorem 1 holds.*

*Proof.* Every maximal independent set contains all isolated vertices, so it is enough to prove the result for the subgraph of  $G$  obtained by removing all isolated vertices from  $N$ . Hence we may assume  $\Gamma(M) = N$ . If every pair of vertices in  $M$  have a common neighbour, then  $\Gamma(\Gamma(C)) = M$  for all  $\emptyset \neq C \subset M$ . Thus by Lemma 11, the sets  $A$  with  $A$  bad and  $N \setminus A$  good are precisely the sets of the form  $A = \Gamma(C)$ ,  $A \neq \emptyset, N$ . If the average size of these sets is at most  $n/2$ , then the same must be true for the set of all good sets. (Note that  $\emptyset = \Gamma(\emptyset)$  and  $N = \Gamma(M)$  are both good.)  $\square$

**Corollary 13.** *If  $\max\{m, n\} \rightarrow \infty$  in such a way that  $n \min\{pq^{m-1}, p^2/2\} - \log m \rightarrow \infty$  then the conclusion of Theorem 1 holds.*

*Proof.* Let  $x \in M$ . We say  $x$  has a *private neighbour* in  $N$  if there exists a  $y \in N$  such that  $y \in \Gamma(x)$  but  $y \notin \Gamma(x')$  for any  $x' \in M$ ,  $x' \neq x$ . The probability that  $x$  has no private neighbour is  $(1 - pq^{m-1})^n \leq e^{-npq^{m-1}}$ . The probability that there is some element of  $M$  which has no private neighbour is then at most  $me^{-npq^{m-1}}$ . By assumption, this tends to zero, so we may assume every  $x \in M$  has a private neighbour. In this case all sets  $\Gamma(C)$ ,  $C \subset M$  are distinct. Also, if  $x, y \in M$  then the probability that  $\Gamma(x) \cap \Gamma(y) = \emptyset$  is  $(1 - p^2)^n$ . Thus the probability that there exist  $x, y \in M$  with no common neighbour in  $N$  is at most  $m^2(1 - p^2)^n \leq m^2e^{-np^2}$ . But the assumptions imply this tends to zero, so we may assume every pair of vertices in  $M$  have a common neighbour. It is clear that the average size of  $\Gamma(C)$ ,  $C \subset M$  is at least  $|\Gamma(M)|/2$ . Indeed,  $|\Gamma(C)| + |\Gamma(M \setminus C)| \geq |\Gamma(M)|$  for all  $C$ . Hence Corollary 12 implies that the conclusion of Theorem 1 holds.  $\square$

*Proof of Theorem 1.* The case when  $mpq^{n/2} \geq n$  is dealt with by Lemma 7. For  $mpq \geq 2 \log n$  but  $mpq^{n/2} < n$  we can apply Lemma 9. For  $mpq < 2 \log n$  but  $m = \omega(\log \log n)$  we can apply Lemma 10. Finally, if  $m = O(\log \log n)$  then  $n \min\{pq^{m-1}, p^2/2\} - \log m \rightarrow \infty$  and we can apply Corollary 13.  $\square$

### 3 Further Models

Taking a random bipartite graph is only one of the many natural ways of choosing a random base: let us mention some more. We may take for  $\mathcal{B}$  a

random  $r$ -uniform hypergraph on  $N$  with  $m$  edges. This is essentially the same as taking  $p = r/n$  in the model we have considered.

Another, even more regular model is defined by taking a quadruple  $(n, m, r, s)$  with  $nr = ms$ , and taking for  $G$  a random  $(r, s)$ -regular bipartite graph with bipartition  $(N, M)$ . This is again close to  $G(n, m; p)$  with  $p = s/n$ . Although our results are bound to hold for these models, a fair amount of work would be needed to prove them.

A considerably more general model is obtained by taking a random bipartite graph with varying probabilities. Thus, we may take an edge  $ij$  with a probability depending on both  $i$  and  $j$ . In a less extreme case, the probability  $p_{ij}$  of an edge  $ij$ ,  $1 \leq i \leq n$ ,  $1 \leq j \leq m$ , is just  $p_i$ . In an even less general case, which is still much more general than the one we have studied, we take integers  $m_i \geq 1$  with  $\sum_{i=1}^k m_i = m$ , and probabilities  $0 < p_1, \dots, p_k < 1$ , and define a random  $m$  by  $n$  bipartite graph with probability  $p_i$  of an edge leaving  $m_i$  of the vertices in the second class. The union-closed conjecture for such a general base is likely to be very close to the full union-closed conjecture.

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