What is Digital Holographic Microscopy (DHM)? What is the state of the art? How can DHM be applied to Marine Environment research?

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The University of Memphis, USA

Research Symposium, PD Spain – Memphis Program

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Driven by

doing.



Biological samples have poor contrast under bright-field microscopy

Scattered beam by the sample

Illumination beam

Unfixed, **unstained** human epithelial cells





Phase Imaging Techniques record phase changes into intensity images





Principle of Quantitative Phase Imaging (QPI)



$$\phi = \frac{2\pi}{\lambda} (n - n_0) t$$

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known $(n, n_0) \rightarrow t$, topography

known $(t) \rightarrow (n - n_0)$, refractometry

Phase Images of human U87 glioblastoma cells



Digital Holographic Microscopy (DHM)

Highlights:

- Label-free (i.e., unstained) imaging technique.
- Digital Holographic Microscopy (DHM) permits the reconstruction of the complex (i.e., amplitude and phase) wavefront diffracted by a given specimen.
- By means of the acquisition of the complex information, one can numerically refocus any axial plane of the sample (i.e., particle-tracking applications).
- □ Off-axis DHM systems are suitable for live imaging since there are a single-shot method.



A. Doblas, *et. al.*, J. Biomed. Opt. 19, 46022 (2014).
 C. Trujillo, *et. al.*, Appl. Opt. 55, 10299–10306 (2016).
 M. K. Kim., J. Opt. Soc. Korea 14, 77–89 (2010).
 Y. K. Park , *et. Al.*, Nat. Photonics 12, 578–589 (2018).



Applications of QPI-DHM in Life and Material Science

Some Applications of QPI

- Live-Cell Imaging
- □ Cell and Tissue Analysis
- Disease Diagnosis
- □ Disease Screening
- □ Cancer Pathology
- Drug Discovery



Some Applications in <u>Material Science</u>

- Dynamic Topography
- Defect Inspection
- Roughness Quality Control
- □ Surface Characterization
- □ Surface Analysis
 - Forensic Document Examination





QPI: Quantitative Phase Imaging



Optical Recording Stage in DHM is the optical recording of an interference





Optical Recording Stage in DHM is the optical recording of an interference





Relation between Spatial and Fourier (frequency) Domain





https://clearlyconfusedcarlo.wordpress.com/2016/09/29/activity-6-properties-and-applications-of-the-2d-fourier-transform/

Relation between Spatial and Fourier (frequency) Domain





https://clearlyconfusedcarlo.wordpress.com/2016/09/29/activity-6-properties-and-applications-of-the-2d-fourier-transform/

Need of a numerical reconstruction method to decouple the complex information of the object

? = Information that we want to know

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Hologram:

$$h(\mathbf{x}) = |u_{IP}(\mathbf{x})|^{2} + |r(\mathbf{x})|^{2} + u_{IP}(\mathbf{x})r^{*}(\mathbf{x}) + u_{IP}^{*}(\mathbf{x})r(\mathbf{x})$$

$$\mathbf{FT} = \text{Fourier Transform}$$

 $H(\boldsymbol{u}) = DC(\boldsymbol{u}) + U(\boldsymbol{u}) \otimes_2 \delta(\boldsymbol{u} - \boldsymbol{k}) + U^*(\boldsymbol{u}) \otimes_2 \delta(\boldsymbol{u} + \boldsymbol{k})$

FT Hologram:





Slightly off-axis

Strict in-line

Application of spatial filtering in off-axis DHM systems

FT Hologram:

 $H(\boldsymbol{u}) = \boldsymbol{D}\boldsymbol{C}(\boldsymbol{u}) + \boldsymbol{U}(\boldsymbol{u}) \otimes_{2} \delta(\boldsymbol{u} - \boldsymbol{k}) + \boldsymbol{U}^{*}(\boldsymbol{u}) \otimes_{2} \delta(\boldsymbol{u} + \boldsymbol{k})$





Off-axis DHM: Numerical Reconstruction





TH:

Off-axis DHM: Numerical Reconstruction





Off-axis DHM: Numerical Reconstruction





The optical configuration of the DHM systems distorts the measurements of the reconstructed phase images



Complex amplitude distribution at the recording plane

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$$u(\boldsymbol{x};\boldsymbol{z}) = \frac{\mathrm{i}}{\lambda \boldsymbol{z}} \mathrm{e}^{\mathrm{i}\boldsymbol{k}\boldsymbol{z}} \left\{ u_{IP}(\boldsymbol{x}) \otimes_2 \exp\left(\mathrm{i}\frac{\boldsymbol{k}}{2\boldsymbol{z}} |\boldsymbol{x}|^2\right) \right\}$$



The optical configuration of the DHM systems distorts the measurements of the reconstructed phase images



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Complex amplitude distribution at the image plane (IP)

$$u_{IP}(\boldsymbol{x}) \propto \frac{1}{M^2} \exp\left(i\frac{k}{2C}|\boldsymbol{x}|^2\right) \times \left\{o\left(\frac{\boldsymbol{x}}{M}\right) \otimes_2 P\left(\frac{\boldsymbol{x}}{\lambda f_{TL}}\right)\right\}$$

$$C = f_{TL}^2 / (f_{TL} - d)$$

M = f / f

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Doblas et al., Opt. Letters 38, 1352 (2013).

Non-telecentric DHM systems are shift-variant imaging systems



$$M = -f_{TL} / f_{MO}$$
$$C = f_{TL}^2 / (f_{TL} - d)$$

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Doblas *et al.*, *Opt. Letters* 38, 1352 (2013).

Complex amplitude distribution at the recording plane

$$u(\boldsymbol{x};\boldsymbol{z}) = \frac{\mathrm{i}}{\lambda \boldsymbol{z}} \mathrm{e}^{\mathrm{i}\boldsymbol{k}\boldsymbol{z}} \left\{ u_{IP}(\boldsymbol{x}) \otimes_{2} \exp\left(\mathrm{i}\frac{\boldsymbol{k}}{2\boldsymbol{z}} |\boldsymbol{x}|^{2}\right) \right\}$$

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Accurate Quantitative Phase Imaging by DHM systems operating in the telecentric regime



Complex amplitude distribution at the recording plane

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Doblas *et al.*, *Opt. Letters* 38, 1352 (2013).

Accurate Quantitative Phase Imaging by DHM systems operating in the telecentric regime



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SHIFT-INVARIANT IMAGING TECHNIQUE FOR TELECENTRIC-BASED DHM

Doblas et al., Opt. Letters 38, 1352 (2013).

Complex amplitude distribution at the recording plane

$$u(\boldsymbol{x};\boldsymbol{z}) = \frac{\mathrm{i}}{\lambda \boldsymbol{z}} \mathrm{e}^{\mathrm{i}\boldsymbol{k}\boldsymbol{z}} \left\{ u_{IP}(\boldsymbol{x}) \otimes_{2} \exp\left(\mathrm{i}\frac{\boldsymbol{k}}{2\boldsymbol{z}} |\boldsymbol{x}|^{2}\right) \right\}$$

Complex amplitude distribution at the image plane (IP)

$$u_{IP}(\boldsymbol{x}) \propto \frac{1}{M^2} \exp\left(i\frac{\boldsymbol{k}}{2C}|\boldsymbol{x}|^2\right) \times \left\{o\left(\frac{\boldsymbol{x}}{M}\right) \otimes_2 P\left(\frac{\boldsymbol{x}}{\lambda f_{TL}}\right)\right\}$$



Advantages of telecentric-cased DHM systems

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The imaging system is **shift-invariant**.





Doblas *et al.*, *Opt. Letters* 38, 1352 (2013). **Doblas** *et al.*, *J. Biomed. Opt.* 19, 046022 (2014).

Advantages of telecentric-cased DHM systems

The imaging system is **shift-invariant**.

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Preservation of the accuracy of the **quantitative phase measurement** over the whole field of view.

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Doblas *et al.*, *Opt. Letters* 38, 1352 (2013). **Doblas** *et al.*, *J. Biomed. Opt.* 19, 046022 (2014).

Advantages of telecentric-based DHM systems

Preservation of the resolution limit imposed by the numerical aperture of the objective lens





Sanchez-Ortiga & Doblas et al., Appl. Opt. 53, 2058 (2013).

Telecentric-based DHM as a screening technology for Diabetes

- Pilot study (43 participants) to demonstrate telecentric-DHM as a tool of diagnosing diabetes.
- ☑ Our experimental results indicate two distinctive groups of phase values.
- Phase value above 3.40 rad are an indication of hyperglycemia, being like Hb1AC values above 6.5 % which are diagnostic of diabetes.
- ☑ From the strong correlation between the Hb1AC and phase values, we conclude that the phase measurement may provide an index of average blood glucose over a long period of time.





3.2

30



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3.7



Common-path versus Mach-Zehnder DHM systems 16

Highlights:

- **Robust** for highly dynamic imaging
- Compact and portable systems with minimum optical elements
- Easily adaptable to commercial microscopes as external modules
- □ Low-cost systems
- □ Suitable for 3D printing
- □ Same imaging performance as two-beam interferometer DHM systems



- 1. Chowdhury et al., Biomed. Opt. Express 8, 2496 (2017)
- 2. Gabai et al., Opt. Express 20, 26906 (2012)
- 3. Rawat, et. al., Appl. Opt. 56, D127 (2017).
- 4. Kemper et al., J. Biomed. Opt. 16, 026014 (2011).



Apparatus and Method to convert a regular bright- 17 field microscope into a DHM system



Apparatus and Method to convert a regular bright- 18 field microscope into a DHM system



Novel Advantage of our Invention Disclosure versus ¹⁹ another QPI-DHM patents

- Measurements of the <u>retardance map</u> of biological samples by adding polarization sensitive capability to the QPI-DHM technique.
- More information can be extracted from the biological samples by illuminating the sample at different polarization states.
- Among different applications, polarization-based measurements have improved the understanding of biological processes as well as the classification and diagnosis of cells/tissues.









Hayes-Rounds & Doblas et al., JBO 25(8), 086501 (2020)

Systems with Polarization-Sensitive Capability shows a huge potential for cancer research studies

Bright-field microscope

Retardance Map



Adenocarcinoma of ovary



Obando-Vasquez & Doblas et al., American Journal of Physics 90, 702 (2022). doi: 10/1119/5.0081673

The major limitation of the commonpath DHM systems is their restriction to spatially sparse samples

Common-path DHM systems are based on the **self-interference** of **two laterally-displaced replicas of the object image**





Restriction: $M_1 \Delta_x \leq L/2$



 M_1 – Lateral Magnification Δ_x – Sample Size L/2 – Lateral Separation of object replicas The major limitation of the commonpath DHM systems is their restriction to spatially sparse samples

Increasing the lateral magnification may produce a spatial overlay between the two object replicas









Common-path DHM using a Fresnel biprism for spatially dense microscopic samples



FB – Fresnel Biprism**IP** – Image Plane**MO** – Objective lens**TL** – Tube lens

L – Lens

Doblas et al., Sensors 22, 3793 (2022).

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- **FB** Fresnel Biprism
- IP Image Plane
- L Lens
- **MO** Objective lens
- TL Tube lens













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Theo. Res. = 709 nm (e.g., λ/NA = 532 nm/0.75)

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The dual-mode FB-based DHM system has better ²⁶ resolution than a commercial profilometer

Reconstructed Phase Images of a reflective USAF target



3.10 µm (7-3 element) vs 691 nm (9-4 element)



Doblas *et al.*, *Sensors* 22, 3793 (2022).



Future of DHM as STEM Toys Market

- High demand of STEM education toys that support academics, in particular the 8-12 age group.
- STEM market expected to grow by 7% annually to a market of \$9.5 Billion by 2025
- Features of the potential polDHM STEM toys: improve of motor skills and cognitive development and demand for coding.
- What can we offer? A low-cost (<\$200) DHM microscope with 3D printed mounts and a Raspberry Pi camera. Students will learn Optics laws, Electronics and Coding.





STEM Toys Market, *Research and Markets*, (2019)





KEY STEP FOR ACCURATE QPI



1. Inverse FT of the filtered hologram spectrum $h_F(x)$

2. Generation of a digital reference wave

$$r_{D}(m,n) = \sum_{m,n} \exp\left[i\frac{2\pi}{\lambda}\left(m \cdot \sin\theta_{x} \cdot M + n \cdot \sin\theta_{y} \cdot N\right)\Delta_{xy}\right]$$

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 $(M,N) \rightarrow$ size of the reconstructed image $(m,n) \rightarrow$ index pixel position $\lambda \rightarrow$ ' w

$$\Delta_{xy} \rightarrow$$
 square pixel size

30

Spatial-Filtered Hologram Spectrun



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΄u_{max},ν_{max})

1. Inverse FT of the filtered hologram spectrum $h_F(x)$

2. Generation of a digital reference wave

$$r_{D}(m,n) = \sum_{m,n} \exp\left[i\frac{2\pi}{\lambda}\left(m \cdot \sin\theta_{x} \cdot M + n \cdot \sin\theta_{y} \cdot N\right)\Delta_{xy}\right]$$

Reference angle, $\theta = (\theta_x, \theta_y)$, is provided by the pixel position of max value peak for the order +1, (u_{max}, v_{max})

$$\theta_{x} = \sin^{-1} \left(\frac{\left| u_{0} - u_{max} \right| \lambda}{M \Delta_{xy}} \right) \qquad \qquad \theta_{y} = \sin^{-1} \left(\frac{\left| v_{0} - v_{max} \right| \lambda}{N \Delta_{xy}} \right)$$

 $(M,N) \rightarrow$ size of the reconstructed image $(m,n) \rightarrow$ index pixel position $\lambda \rightarrow$ ' w Δ_{xy} → square pixel size $(u_0, v_0) = (M/2+1, N/2+1)$ → pixel position of the DC term (u_{max}, v_{max}) → max peak pixel position of the +1 term



1. Inverse FT of the filtered hologram spectrum $h_F(x)$

2. Generation of a digital reference wave

$$r_{D}(m,n) = \sum_{m,n} \exp\left[i\frac{2\pi}{\lambda}\left(m \cdot \sin\theta_{x} \cdot M + n \cdot \sin\theta_{y} \cdot N\right)\Delta_{xy}\right]$$

3. Complex object information without distortion of the reference beam

 $\hat{o}(\boldsymbol{x}) = r_D(\boldsymbol{x}) \cdot h_F(\boldsymbol{x})$



 $(M,N) \rightarrow$ size of the reconstructed image $(m,n) \rightarrow$ index pixel position $\lambda \rightarrow$ ' w





Phase Image

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- 1. Inverse FT of the filtered hologram spectrum $h_{F}(x)$
- 2. Generation of a digital reference wave

$$r_{D}(m,n) = \sum_{m,n} \exp\left[i\frac{2\pi}{\lambda}\left(m \cdot \sin\theta_{x} \cdot M + n \cdot \sin\theta_{y} \cdot N\right)\Delta_{xy}\right]$$

3. Complex object information without distortion of the reference beam

 $\hat{o}(\boldsymbol{x}) = r_D(\boldsymbol{x}) \cdot h_F(\boldsymbol{x})$

4. Estimation of the phase map

$$\hat{\varphi}(\boldsymbol{x}) = \tan^{-1} \left(\frac{\operatorname{Im}[\hat{o}(\boldsymbol{x})]}{\operatorname{Re}[\hat{o}(\boldsymbol{x})]} \right)$$

 $(M,N) \rightarrow$ size of the reconstructed image $(m,n) \rightarrow$ index pixel position $\lambda \rightarrow$ ' w $\Delta_{xy} \rightarrow$ square pixel size

Need for correct estimation of the position of the 34 maximum peak of the +1 term

T w b 'w λ), the features of the digital sensor (*M*, *N*, Δ_{xy}) and the subtraction between the pixel positions of the DC and +1 terms



Need for correct estimation of the position of the 34 maximum peak of the +1 term

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Need for correct estimation of the position of the 34 maximum peak of the +1 term

T w b 'w λ), the features of the digital sensor (*M*, *N*, Δ_{xy}) and the subtraction between the pixel positions of the DC and +1 terms

$$\theta_{x} = \sin^{-1} \left(\frac{|u_{0} - u_{max}|\lambda}{M\Delta_{xy}} \right) \qquad \qquad \theta_{y} = \sin^{-1} \left(\frac{|v_{0} - v_{max}|\lambda}{N\Delta_{xy}} \right)$$



Distorted phase image if one uses the wrong values of (u_{max}, v_{max})

Best/Optimal Reconstructed Phase Image



Non-Optimal Reconstructed Phase Image





The best reconstructed phase image contains the 36 smaller number of phase jumps



The proposed method reconstruct the best phase image by minimizing a cost function (J) that counts the number of phase jumps







Castaneda & Doblas., Appl. Optics 60, 10214 (2021)

Fast & Accuracy Reconstruction QPI-DHM method 38

Sample	Phase USAF target			<i>Drosophila melanogaster</i> fly			Glioblastoma cells		
Method	NL	С	Our	NL	С	Our	NL	С	Our
Phase image							1		1
Image size	1024 × 1024			2048 × 2048			2048 × 2048		
U _{max}	149	149.85	149.27	760	761.08	759.64	512	512.08	512
V _{max}	297.2	296.46	296.13	734	735.65	733.74	357.2	357.28	357
Accuracy	0.88	0.93	0.95	0.82	0.80	0.97	0.98	0.81	0.99
Processing time (s)	60.2	3.8	1.6	236.7	7.3	3.3	238.2	7.7	2.6

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NL = nested-for-loops method

C = centroid method

https://github.com/oirl/tuDHM

Castaneda & Doblas., Appl. Optics 60, 10214 (2021)

Unpredicted failing of tuDHM reconstruction method



Performance highly dependent on the sample.No recovery of phase maps at video rates



Learning-based reconstruction model to provide 40 video-rate QPI-DHM

Deep Learning

Recorded Hologram



Reconstructed Phase Image



Recovery of phase maps at video rates Performance should be independent of the sample with proper training

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Castaneda & Doblas *et al.*, Proceeding IEEE (2021) Castaneda & Doblas *et al.*, Sensors 21(23), 8021 (2021)

Experimental dataset for the learning-based model 41



Details MO: $40 \times / 0.65$ NA **CMOS:** 1920×1200 square pixels with side 5.86 μ m

- Samples: unstained red blood cells (RBC).
 Dataset: recorded holograms (inputs) and aberration-free reconstructed phase images (output).
- □ Total number of images: 1,820 instances with images of 256x256 pixels.
- 80% and 20% of the dataset was used to train and validate the network, respectively.



Castaneda & Doblas et al., Sensors 21(23), 8021 (2021)

Conditional Generative Adversarial Network (cGAN) 42



Innovation: inclusion of two customized metrics during training



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- TSM tracks the number of phase discontinuities using a thresholdingand-summation metric
- □ STD tracks the noise level measured in homogenous regions of the reconstructed phase maps using the standard deviation
- ☑ Our cGAN model has been trained using two customized metrics specifically designed for tracking the imaging characteristics in DHM.

Castaneda & Doblas et al., Sensors 21(23), 8021 (2021)

Rapid convergence in training thanks to the two customized metrics



☑ TSM and STD metrics makes that the cGAN model converges rapidly (e.g., only 12 epochs are needed).

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Validation of the cGAN model vs. the automatic method

The cGAN provides phase images free of phase distortions (e.g., reduced TSM values).

The cGAN reduces speckle contrast (e.g., low STD values).

Castaneda & Doblas et al., Sensors 21(23), 8021 (2021)





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TSM=0.03; STD=8.30 TSM=0.02; STD=8.34 TSM=0.03; STD=7.24 TSM=0.03; STD=5.91

Validation of the cGAN model to arbitrary holograms

- □ Training dataset for U-Net was increased to 24,491.
- The U-Net model provides distorted details of red blood cells.
- The cGAN model reconstructs the

Castaneda & Doblas et al., Sensors 21(23), 8021 (2021)





OIRL Mission : Create open-source and automated tools for DHM

pyDHM Library:

Utility Package

Phase-Shifting Package

Fully-Compensated Phase Reconstruction Package

Numerical Propagation Package





Applications of <u>lensless</u> DHM to Marine Environment research

- ❑ Monitoring of micro-organisms in potable water, aiming to reduce water-related diseases²
- Quantitative measurements for biodiversity and ecosystem monitoring³: plankton concentration, average size and size dispersion of individuals, particle size dispersion, water turbidity, suspension statistics.
- Potential of real-time plastic degradation monitoring in a marine (saltwater) environment⁴.

Credit to Maria Josef Lopera Acosta, Master dissertation, 2022.
 Pitkaaho *et al.*, Digital Holography and 3D Imaging 2027, paper W2A.44 (2017)
 Dyomin, *et. al.*, Sensors 21, 4863 (2021) & Dyomin *et al.*, Appl. Sci. 12, 11266 (2022) & Nayak *et al.*, Frontiers in Marine Science 7, 572146 (2021)
 Schnitzler *et al.*, Marine Pollution Bulletin 163, 111950 (2021)).





Learning Objectives of this Research Seminar

- Differentiate between intensity-based and quantitative phase imaging techniques.
- Learn the basics in hardware and computational method of off-axis DHM.
- Recognize the advantages/disadvantages between telecentric and non-telecentric DHM systems
- Understand the fundamentals of the common-path DHM.
- Recognize the functioning reflection DHM systems for non-biological samples.
- Realize the need for automated phase compensation algorithms to recover accurate information in off-axis DHM.
- Recognize how learning-based models can be used in DHM.



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Collaborators



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https://sites.google.com/view/oirl/ youtube

